



2161  
Atty. Dkt. No. 062834-0133

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PATENT AND TRADEMARK OFFICE EMPLOYEE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: George H. HOFFMAN, et al.  
Title: SYSTEM, METHOD AND COMPUTER  
PROGRAM PRODUCT FOR A PROMOTION  
REPORTING INTERFACE IN A SUPPLY  
CHAIN MANAGEMENT FRAMEWORK  
Appl. No.: 09/815,515  
Filing Date: 03/23/2001  
Examiner: Unassigned  
Art Unit: 2161

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JAN 31 2003  
GROUP 3000

**TRANSMITTAL LETTER FOR  
PROPRIETARY INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56 AND MPEP §§ 724.02 AND 724.04**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached hereto is a Proprietary Information Disclosure Statement submitting proprietary information to the Patent and Trademark Office in accordance with the requirements of 37 C.F.R. §1.56 and MPEP §724. Applicant respectfully requests that the information be considered only by the Examiner in charge of the above-identified application, or other authorized Patent and Trademark Office employee.

Respectfully submitted,

Date January 27, 2003

By 

FOLEY & LARDNER  
Customer Number: 22428



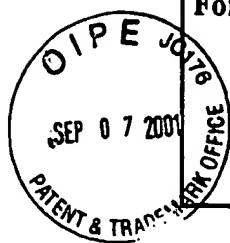
22428

PATENT TRADEMARK OFFICE

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Facsimile: (202) 672-5399

William T. Ellis  
Attorney for Applicant  
Registration No. 26,874.

Form 1449 (Modified)	Atty. Docket No. RSI1P014	Application No.: 09/815,515
Information Disclosure Statement By Applicant	Applicant: George Harry Hoffman, et al.	Group Art Unit: 2161
(Use Several Sheets if Necessary)	Filing Date: 3/23/01	



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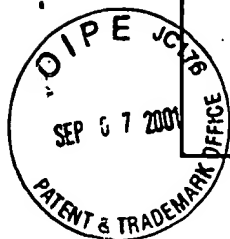
U.S. Patent Documents.

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
CMC	A	6,167,380	12/26/00	Kennedy et al.	705	10	02/18/95
	B	6,151,582	11/21/00	Huang et al.	705	8	02/24/97
	C	6,115,690	09/05/00	Wong	705	7	12/22/97
	D	5,983,198	11/09/99	Mowery et al.	705	22	04/23/96
	E	5,974,395	10/26/99	Bellini et al.	705	9	08/21/96
	F	5,963,919	10/05/99	Brinkley et al.	705	28	12/23/96
	G	5,953,707	09/14/99	Huang et al.	705	10	02/21/97
	H	5,914,878	06/22/99	Yamamoto et al.	364	468.13	07/22/97
	I	5,884,300	03/16/99	Brockman	707	2	05/01/97
	J	5,774,868	06/30/98	Cragun et al.	705	10	12/23/94
	K	5,771,172	06/23/98	Yamamoto et al.	364	468.13	07/22/97
	L	5,765,143	06/09/98	Sheldon et al.	705	28	03/10/95
	M	5,687,322	11/11/97	Deaton et al.	395	214	06/01/95

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
CMC	N	00/51038	31.08.00	WO- PCT	G06F	17/40		
CMC	O	99/67729	29.12.99	WO- PCT	G06F	17/60		
Examiner Catherine M. Chan				Date Considered 12/1/04				

Form 1449 (Modified)	Atty. Docket No. RSIIP014	Application No.: 09/815,515
Information Disclosure Statement By Applicant	Applicant: George Harry Hoffman, et al.	Group Art Unit: 2461 3623
(Use Several Sheets if Necessary)	Filing Date: 3/23/01	



Other Documents

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SEP 07 2001  
Technology Center 2100

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
CME	P	<a href="http://www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html">www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html</a> - "Supply chain gets cold cuts"
	Q	<a href="http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html">http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html</a> - "Cover Story: Supply Chain Management Quarterly Efficient Foodservice Response Moving Towards Efficiency"
	R	<a href="http://www.localbusiness.com/Story/Print/0,1197,NOCITY_55953,00.html">http://www.localbusiness.com/Story/Print/0,1197,NOCITY_55953,00.html</a> "MindFlow: Purchasing Savvy"
	S	<a href="http://freespace.virgin.net/heathcote.echain/white_paper_4.htm">http://freespace.virgin.net/heathcote.echain/white_paper_4.htm</a> "e-Chain Solutions Limited, White Paper 4 - Efficient Foodservice Response (EFR)"
	T	<a href="http://www.startmag.com/v3n9/v3n9p060.asp">http://www.startmag.com/v3n9/v3n9p060.asp</a> "Start - November 1999, v3n9 The Virtual Supply Chain"
	U	<a href="http://www.p21.com/acclaim/supply-chain.html">http://www.p21.com/acclaim/supply-chain.html</a> "Supply Chain Management"
	V	<a href="http://www.sap.com/press/fs_scm.htm">http://www.sap.com/press/fs_scm.htm</a> "mySAP.com™ Supply Chain Management"
	W	<a href="http://www.foodlogistics.com/esupply/esupply/section3.html">http://www.foodlogistics.com/esupply/esupply/section3.html</a> "An E-Powered Supply Chain"
↓	X	<a href="http://www.supplychainbrain.com/news/">http://www.supplychainbrain.com/news/</a> "Supply Chain Brain Quick Read Newsletter February 21, 2001"
Examiner	Date Considered	
Cathleen M. Colan	12/1/04	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

**Complete if Known**

Application Number	09/815,515
Filing Date	03/23/2001
First Named Inventor	George Harry HOFFMAN et al.
Group Art Unit	2461 3(023
Examiner Name	Unassigned COLON
Attorney Docket Number	062834-0133

Sheet	1	of	1
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[illegible][illegible]

Examiner Signature	<i>Catherine M. G. G.</i>	Date Considered	12/1/04
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1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C.

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OIP JUN 17 2003 PATENT & TRADEMARK OFFICE Substitution for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: June 17, 2003 (Use as many sheets as necessary)		<b>Complete if Known</b> Application Number: 09/815,515 Filing Date: 03/23/2001 First Named Inventor: George H. HOFFMAN Group Art Unit: 2461-3623 Examiner Name: Unassigned (Colin) Attorney Docket Number: 062834-0133	
Sheet	1	of	2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
CME	B1	4,713,761		Sharpe et al.	12-15-1987	
	B2	4,799,156		Shavit et al.	01-17-1989	
	B3	5,305,199		LoBiondo et al.	04-19-1994	
	B4	5,712,989		Johnson et al.	01-27-1998	
	B5	5,758,329		Wojcik et al.	05-26-1998	
	B6	5,884,300		Brockman	03-16-1999	
	B7	5,893,076		Hafner et al.	04-06-1999	
	B8	5,970,469		Scroggie et al.	10-19-1999	
	B9	5,970,475		Barnes et al.	10-19-1999	
	B10	6,064,981		Bami et al.	05-16-2000	
	B11	6,134,557		Freeman	10-17-2000	
	B12	6,138,106		Walker et al.	10-24-2000	
	B13	6,148,291		Radican	11-14-2000	
	B14	6,157,915		Bhaskaran	12-05-2000	
	B15	6,219,653	B1	O'Neill et al.	04-17-2001	
	B16	6,263,317	B1	Sharp et al.	07-17-2001	
	B17	6,324,522	B2	Peterson et al.	11-27-2001	
	B18	6,341,271	B1	Salvo et al.	01-22-2002	
	B19	6,393,408	B1	Mosher et al.	05-21-2002	
	B20	6,418,416	B1	Rosenberg et al.	07-09-2002	
	B21	2002/0019759	A1	Arunapuram et al.	02-14-2002	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
CME	B22	JP	2002236730 A		SUGIOKA, MIKIO	08-23-2002		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
CME	B23	Information on Deal Time International, 1998-2002	

Examiner Signature	Catherine M. Colan	Date Considered	10/1/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE				Application Number	09/815,515
STATEMENT BY APPLICANT				Filing Date	03/23/2001
Date Submitted: June 17, 2003				First Named Inventor	George H. HOFFMAN
(use as many sheets as necessary)				Group Art Unit	2481 3623
Sheet	2	of	2	Examiner Name	Unassigned COLON
				Attorney Docket Number	062834-0133

[illegible]

Examiner Signature	Catherine M. Blair	Date Considered	12/1/04
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<sup>8</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 If possible. <sup>9</sup>Applicant is to place a check mark here if English language translation is attached.

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Atty. Dkt. No. 062834-0133

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Appl. No.: 09/815,515  
Filing Date: 03/23/2001  
Examiner: Unassigned  
Art Unit: 2161

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GROUP 3000

**TRANSMITTAL LETTER FOR  
PROPRIETARY INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56 AND MPEP §§ 724.02 AND 724.04**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached hereto is a Proprietary Information Disclosure Statement submitting proprietary information to the Patent and Trademark Office in accordance with the requirements of 37 C.F.R. §1.56 and MPEP §724. Applicant respectfully requests that the information be considered only by the Examiner in charge of the above-identified application, or other authorized Patent and Trademark Office employee.

Respectfully submitted,

Date January 27, 2003

By 

FOLEY & LARDNER  
Customer Number: 22428

William T. Ellis  
Attorney for Applicant  
Registration No. 26,874



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PATENT TRADEMARK OFFICE

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Atty. Dkt. No. 062834-0133

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Filing Date: 03/23/2001  
Examiner: Unassigned  
Art Unit: 2161

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**GROUP 3600**

**PROPRIETARY INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.56 AND MPEP §§ 724**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following is a listing of co-pending U.S. patent applications, which relate(s)  
to the above-identified application.

<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>SERIAL NO.</u>	<u>FILING DATE</u>
09/815,729	March 23, 2001	09/815,792	March 23, 2001
09/815,463	March 23, 2001	09/815,813	March 23, 2001
09/815,489	March 23, 2001	09/815,830	March 23, 2001
09/815,490	March 23, 2001	09/815,845	March 23, 2001
09/815,559	March 23, 2001	09/815,864	March 23, 2001
09/815,580	March 23, 2001	09/815,893	March 23, 2001
09/815,590	March 23, 2001	09/815,894	March 23, 2001
09/815,598	March 23, 2001	09/815,897	March 23, 2001
09/815,606	March 23, 2001	09/815,899	March 23, 2001
09/815,660	March 23, 2001	09/815,973	March 23, 2001
09/815,668	March 23, 2001	09/815,989	March 23, 2001
09/815,688	March 23, 2001	09/816,021	March 23, 2001
09/815,715	March 23, 2001	09/816,033	March 23, 2001
09/815,725	March 23, 2001	09/816,048	March 23, 2001
09/815,727	March 23, 2001	09/816,069	March 23, 2001
09/815,731	March 23, 2001	09/816,075	March 23, 2001
09/815,734	March 23, 2001	09/816,083	March 23, 2001
09/815,759	March 23, 2001	09/816,092	March 23, 2001
09/815,777	March 23, 2001	09/816,101	March 23, 2001



<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>SERIAL NO.</u>	<u>FILING DATE</u>
09/816,121	March 23, 2001	09/816,434	March 23, 2001
09/816,151	March 23, 2001	09/816,454	March 23, 2001
09/816,160	March 23, 2001	09/816,455	March 23, 2001
09/816,167	March 23, 2001	09/816,471	March 23, 2001
09/816,187	March 23, 2001	09/816,488	March 23, 2001
09/816,203	March 23, 2001	09/816,491	March 23, 2001
09/816,212	March 23, 2001	09/816,412	March 23, 2001
09/816,231	March 23, 2001	09/816,495	March 23, 2001
09/816,249	March 23, 2001	09/816,503	March 23, 2001
09/816,268	March 23, 2001	09/816,507	March 23, 2001
09/816,269	March 23, 2001	09/816,536	March 23, 2001
09/816,285	March 23, 2001	09/816,537	March 23, 2001
09/816,296	March 23, 2001	09/816,543	March 23, 2001
09/816,314	March 23, 2001	09/816,553	March 23, 2001
09/816,331	March 23, 2001	09/816,555	March 23, 2001
09/816,349	March 23, 2001	09/816,560	March 23, 2001
09/816,357	March 23, 2001	09/816,561	March 23, 2001
09/816,358	March 23, 2001	09/816,564	March 23, 2001
09/816,388	March 23, 2001	09/816,565	March 23, 2001
09/816,413	March 23, 2001	09/816,567	March 22, 2001
09/816,414	March 23, 2001	09/816,576	March 23, 2001
09/816,420	March 23, 2001	09/816,582	March 23, 2001
09/816,421	March 23, 2001	09/816,881	March 23, 2001
09/816,422	March 23, 2001	09/816,896	March 23, 2001
09/816,424	March 23, 2001	09/816,922	March 23, 2001
09/816,426	March 23, 2001	09/816,944	March 23, 2001
09/816,427	March 23, 2001	09/816,976	March 23, 2001
09/816,428	March 23, 2001	09/834,465	April 13, 2001
09/816,429	March 23, 2001	09/834,600	April 13, 2001
09/816,430	March 23, 2001	09/834,838	April 13, 2001
09/816,431	March 23, 2001	09/834,924	April 13, 2001

Applicant considers the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. This Information Disclosure Statement is not intended as an admission either that the listed applications constitute prior art against the claims of the present application or that the listed applications are material to patentability as defined in 37 C.F.R. §1.56(b).

Applicant assumes that the examiner will have access to all of the references cited in the applications. However, if the examiner wishes applicants to submit copies of the references cited in the listed applications, the examiner is encouraged to inform applicants.

Accompanying this Proprietary Information Disclosure Statement is a transmittal letter indicating that the materials contained herein are proprietary, as required by MPEP § 724.02.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(B) prior to the mailing date of the first official action.

Applicant respectfully requests that the Examiner consider the foregoing information and provide in the next official communication the information set forth in MPEP § 724.04(a), particularly the information under item (3), so that the applicant can, if appropriate, subsequently file a petition to expunge those materials, as provided according to MPEP § 724.05.

Respectfully submitted,

Date January 27, 2003

By 

FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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William T. Ellis  
Attorney for Applicant  
Registration No. 26,874

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.